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PTO/SB/122 (09-03)

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Application

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|------------------------|------------------------|
| Application Number | 09/989,481 |
| Filing Date | 11/20/2001 |
| First Named Inventor | Raymond Ming Wah Chau |
| Art Unit | 1647 |
| Examiner Name | Nichols, Christopher J |
| Attorney Docket Number | 12592-4 |

Please change the Correspondence Address for the above-identified patent application to:

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☒ **Firm or
Individual Name** Genervon Biopharmaceuticals LLC

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I am the:

☐ Applicant/Inventor

☒ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☐ Attorney or Agent of record. Registration Number _____

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name Winston Ko, C.E.O.

Signature [Signature]

Date 6/9/2004

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NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 4 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Genervon Biopharmaceuticals LLC

Application No./Patent No.: 09/989,481 Filed/Issue Date: 11/20/2001

Entitled: METHODS AND USE OF MOTONEURONOTROPHIC FACTORS

Genervon Biopharmaceuticals LLC, a Delaware Limited Liability Company

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Raymond Ming Wah Chau To: KM Biotech, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 014091, Frame 0738, or for which a copy thereof is attached.

2. From: KM Biotech, Inc. To: Genervon Biopharmaceuticals LLC

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

06/09/2004

Date

(323)-721-5500

Telephone number

Winston Ko

Typed or printed name

Signature

Chief Executive Officer

Title

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